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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No. <b>353.015</b>
		First Named Inventor <b>STEVEN M. LEHMANN</b>
		Title <b>TORQUE LIMITING MECHANISM FOR A MECHANICAL ASSIST MOBILE STORAGE SYSTEM</b>
		Express Mail Label No. <b>EL922961061US</b>

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
<p>1. ( X ) Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. ( ) Applicant claims small entity status See 37 CFR 1.27</p> <p>3. ( X ) Specification <b>[Total Pages 16]</b> <i>(preferred arrangement set forth below, MPEP 1503.01)</i></p> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claims</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. ( x ) Drawing(s) (35 U.S.C. 113) <b>[Total Sheets 3]</b></p> <p>5. Oath or Declaration <b>[Total Pages 1.]</b></p> <ul style="list-style-type: none"> <li>a. ( X ) Newly executed (original or copy)</li> <li>b. ( ) Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 16 completed)</i></li> <li>i. ( ) <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63 (d)(2) and 1.33 (b).</li> </ul> <p>6. ( ) Application Data Sheet. See 37 CFR 1.76</p> <p>7. ( ) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p>		<p>8. ( ) Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none"> <li>a. ( ) Computer Readable Form (CRF)</li> <li>b. ( ) Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. ( ) CD-ROM or CD-R (2 copies); or</li> <li>ii. ( ) paper</li> </ul> </li> <li>c. ( ) Statement verifying identity of above copies</li> </ul>
<b>ACCOMPANYING APPLICATION PARTS</b>		
<p>9. ( ) Assignment Papers (cover sheet &amp; document(s))</p> <p>10. ( ) 37 CFR 3.73(b) Statement ( ) Power of Attorney <i>(when there is an assignee)</i></p> <p>11. ( ) English Translation Document <i>(if applicable)</i></p> <p>12. ( ) Information Disclosure Statement (IDS) ( ) Copies of IDS Citations</p> <p>13. ( ) Preliminary Amendment</p> <p>14. ( X ) Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. ( ) Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. ( X ) Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i) – Applicant must attach form PTO/SB/35 or its equivalent</p> <p>17. ( ) Other: _____</p>		

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

( ) Continuation ( ) Divisional ( X ) Continuation-in-part (CIP) of prior application No: 09/514,490

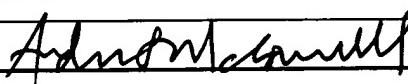
Prior application information: Examiner V. Luong Group / Art Unit: 3682

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			or <input checked="" type="checkbox"/> Correspondence address below	
Name	Andrew S. McConnell				
Address	Boyle, Fredrickson, Newholm, Stein & Gratz, S.C. 250 E. Wisconsin Avenue, Suite 1030				
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Country		Telephone	(414) 225-9755	Fax	(414) 225-9753

Name (Print/Type) Andrew S. McConnell	Registration No. (Attorney/Agent) 32,272
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Signature 	Date <u>11/13/01</u>
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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)  
**(\$)** 812.00

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Steven M. Lehmann
Examiner Name	
Group Art Unit	
Attorney Docket No.	353.015

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	50-1170
Deposit Account Name	Boyle Fredrickson Newholm Stein & Gratz S.C.

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:  
 Check     Credit Card     Money Order     Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for ex parte reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	400	216	200 Extension for reply within second month	
117	920	217	460 Extension for reply within third month	
118	1,440	218	720 Extension for reply within fourth month	
128	1,960	228	980 Extension for reply within fifth month	
119	310	219	155 Notice of Appeal	
120	310	220	155 Filing a brief in support of an appeal	
121	270	221	135 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,240	241	620 Petition to revive - unintentional	
142	1,240	242	620 Utility issue fee (or reissue)	
143	440	243	220 Design issue fee	
144	600	244	300 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR 1.17(q)	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY					Complete if applicable	
Name (Print/Type)	Andrey S. McConnell	Registration No. (Attorney/Agent)	32,272	Telephone	414-225-9755	
Signature	<i>Andrey S. McConnell</i>	Date	11/13/01			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Applicant: STEVEN M. LEHMANN

CERTIFICATE OF EXPRESS MAIL

This is to certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL - POST OFFICE TO ADDRESSEE, in an envelope addressed to BOX PATENT APPLICATION, COMMISSIONER FOR PATENTS, Washington, DC 20231 on November 13, 2001. The Express Mail Label No. is EL922961061US.

Dawn M. Oleszak

Dawn M. Oleszak

11-13-01

Date

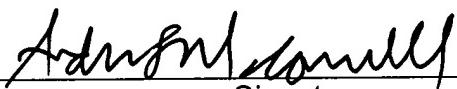
PROCESSED  
FILED  
NOV 13 2001  
U.S. PATENT AND TRADEMARK OFFICE

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	STEVEN M. LEHMANN
	Title	TORQUE LIMITING MECHANISM FOR A MECHANICAL ASSIST MOBILE STORAGE SYSTEM
	Atty. Docket Number	353.015

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

November 13, 2001

Date



Signature

Andrew S. McConnell

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(b)(iii)).**